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10 UNITED STATES DISTRICT COURT	
NORTHERN DISTRICT OF CALIFORNIA	
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13 HVMN INC., a Delaware Corporation, Case No.:	
Plaintiff,	
15 COMPLAINT FOR DECLARATORY JUDGME	ЛТ
OF NON-INFRINGEMENT	`-
HUMAN POWER OF N COMPANY, a Texas Corporation,	
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Defendant.	
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144119.00101/112072442v.1 COMPLAINT	

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Plaintiff, HVMN Inc. ("HVMN" or "Plaintiff"), by and through its attorneys, for its Complaint against Defendant, Human Power of N Company ("Defendant"), alleges upon information and belief as follows:

THE PARTIES

- Plaintiff is a Delaware corporation located at 505 Montgomery Street, 1. Suite 1025, San Francisco, CA 94111.
- 2. Upon information and belief, Defendant is a Texas corporation located at 1122 S. Capital of Texas Hwy, Suite 300, West Lake Hills, TX 78746-6728.

JURISDICTION AND VENUE

- 3. This is a civil action for a declaratory judgment of non-infringement.
- Jurisdiction is proper in this Court because this litigation arises under 4. federal law, namely 17 U.S.C. § 1051 et seq. (Lanham Act). The Court has jurisdiction over this action under 28 U.S.C. § 1331 (federal question), 28 U.S.C. § 1338(a) (trademarks), and 28 U.S.C. § 2201 (Declaratory Judgment Act).
- This Court has personal jurisdiction over Defendant because Defendant, 5. inter alia, transacts business in the State of California and within this district, engages in a persistent course of conduct in the State of California, and expects, or should reasonably expect, its acts to have legal consequences in the State of California.
- 6. Venue is proper in the Northern District of California pursuant to 28 U.S.C. § 1391 because Defendant conducts or has regularly conducted business in this judicial district, including advertising and selling its products in at least eleven (11) retail store locations within this district and advertising and selling its products through the Internet to California residents. As such, Defendant expects, or should reasonably expect, its acts to have legal consequences in this District.
- As detailed below, a substantial case or controversy has arisen between 7. the parties.

Plaintiff's Business and Trademark

8. HVMN is a San Francisco based company that develops and manufactures nutrition products that are geared towards optimizing and improving cognitive, physical, and metabolic human performance. Its innovative and patented HVMN Ketone ester drink is the world's first ketone ester drink. See HVMN Ketone, HVMN, <www.hvmn.com/ketone> (last accessed Sept. 6, 2018). It is a unique metabolic performance drink that improves athletic training, recovery, and metabolism. Id. Plaintiff advertises the HVMN Ketone drink specifically for "any athlete dedicated to achieving ambitious goals." Id. The consumption of the drink elevates an athlete's blood ketone levels for three (3) to six (6) hours to enhance athletic performance. Id.

FACTUAL BACKGROUND

- 9. HVMN is the exclusive owner of all rights, title, and interest in and to various federal trademark registrations and applications, including U.S. Trademark Registration No. 5,361,363 for the mark HVMN, which is registered in connection with the following goods:
 - Class 05: Dietary and nutritional supplements; dietary and nutritional supplements containing ketones; liquid protein supplements, namely, ketogenic protein supplement shakes and drinks; nutritional supplements energy bars; nutritional supplements in the form of energy gels, pastes and chews.
 - Class 30: Cereal based energy bars; candy.
 - Class 32: Sports performance drinks.
- (the "HVMN Mark"). A true and correct copy of Plaintiff's Certificate of Registration and current status printouts from the United States Patent and Trademark Office's Trademark Status and Document Retrieval system are attached hereto as Exhibit A. This registration is valid, subsisting, and conclusive evidence of HVMN's exclusive right to use the HVMN Mark in commerce in connection with the registered goods (the "Goods") and for those goods within the zone of natural expansion.

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- 10. As evidenced by the U.S. Patent and Trademark Office's ("<u>USPTO</u>") issuance of Registration No. 5,361,363, the HVMN Mark is inherently distinctive.
- Since at least June 27, 2017, Plaintiff has continuously used the HVMN 11. Mark on or in connection with the Goods in the United States. Through extensive and continuous use, marketing, and advertising of the HVMN Mark, the HVMN Mark has acquired substantial goodwill and trademark distinctiveness in the minds of the relevant trade and public as an indicator of a single source for the Goods.
- As a result of this longstanding, exclusive, and continuous marketing, 12. promotion, advertisement, and sale of products under the HVMN Mark, the relevant trade and the public have come to immediately associate the HVMN Mark with Plaintiff and to expect goods bearing the HVMN Mark to have a connection or association with Plaintiff.
- 13. Plaintiff has vigilantly policed and enforced its exclusive rights so as to prevent unauthorized use of the HVMN Mark by competitors.

Defendant's Business and Trademarks

14. Upon information and belief, Defendant's business, in stark contrast to Plaintiff's business, develops and manufactures Nitric Oxide functional foods and supplements. About Us, HumanN, https://www.humann.com/why-humann/ (last accessed Sept. 6, 2018). According to Defendant's website "Nitric Oxide (N-O) is a gas composed of one atom of nitrogen bonded to one atom of oxygen. It serves as a critical signaling molecule in the cardiovascular and circulatory systems." What is Nitric Oxide (N-O)?, HUMANN, < https://www.humann.com/science-overview/hownitric-oxide-works/> (last accessed Sept. 6, 2018) (emphasis added). Defendant's Nitric Oxide products are primarily geared towards consumers who are "the age of 40 and beyond," as that is when the human "body's natural Nitric Oxide levels begin and then continue to fall." Our Philosophy, HUMANN, < https://www.humann.com/whyhumann/our-philosophy/> (last accessed Sept. 6, 2018). Defendant's Nitric Oxide products are for the ultimate purpose of "help[ing] the body to promote optimal N-O

function." <u>Id.</u> The company touts itself as the best qualified Nitric Oxide company, stating: "there is no other company more committed, or qualified, to bring the best N-O functional foods and supplements to every human" *About Us*, HUMANN, < <u>https://www.humann.com/why-humann/</u>> (last accessed Sept. 6, 2018).

- 15. Upon information and belief, Defendant is the exclusive owner of all rights, title, and interest in and to, *inter alia*, the following trademark registrations:
 - U.S. Trademark Registration No. 5,355,870 for the mark human in connection with the following goods (the "HPNC Design Mark"):
 - <u>Class 5:</u> Pharmaceuticals, health and wellness products, namely, nutraceuticals for use as dietary supplements, dietetic and functional foods and beverages adapted for medical purposes; dietary and nutritional supplements; herbal supplements; vitamin and mineral supplements; dietary supplements for weight-loss or energy boosting in the nature of powders, tablets, capsules. lozenges, and nutritional supplement meal replacement bars for boosting energy, and immunity boosting nutritional supplements.
 - <u>Class 29:</u> Processed meats and processed foods, namely, preserved, dried, and cooked fruits and vegetables; jellies and jams.
 - <u>Class 32:</u> Fruit and vegetable beverages, instant fruit and vegetable flavored drinks, soft drinks, and other preparations in liquid or powder form for making nonalcoholic fruit, vegetable, herbal, and cola beverages.
 - U.S. Trademark Registration No. 5,351,133 for the mark HUMANN in connection with the following goods (the "HPNC Word Mark"):
 - <u>Class 5</u>: Pharmaceuticals in the nature of health and wellness products, namely, nutraceuticals for use as dietary supplements, dietetic and functional foods, namely, bars, powders, tablets, gummies, chews, and capsules containing combinations of protein, fruits, vegetables, grains, amino acids, minerals, nutrients and vitamins; beverages, namely, ready to drink and powdered drink mixes containing combinations of protein,

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nutrients, and vitamins.

<u>Class 29:</u> Meats and Processed Foods in the nature of

fruits, vegetables, grains, amino acids, fortified with mineral,

- <u>Class 29:</u> Meats and Processed Foods in the nature of preserved, dried and cooked fruits and vegetable; jellies and jams.
- Class 32: Light Beverages in the nature of instant flavored drinks, namely, fruit, grain, and vegetable juices and soft drinks; preparations in liquid or powder form for making non-alcoholic fruit, vegetable, herbal, and cola beverages, namely, fruit, grain, and vegetable juices and combinations thereof.

(collectively, the "<u>HPNC Marks</u>"). A true and correct copy of Defendant's Certificates of Registration and current status printouts from the USPTO's Trademark Status and Document Retrieval system are attached hereto as <u>Exhibit B</u>.

16. Upon information and belief, Defendant made sworn statements to the USPTO that it has been using the HPNC Marks in connection with the Goods since at least as early as June 2016. See Trademark Statements of Use submitted by Defendant to the USPTO in connection with the HPNC Marks attached hereto as Exhibit C. However, on or about August 28, 2018, in a deposition taken pertaining to the Cancellation Action (defined below), Defendant conceded that it has not used the HPNC Word Mark and/or the HPNC Design Mark in commerce in connection with the following *registered* goods: (i) bars; (ii) gummies; (iii) chews; (iv) any form of liquid beverages; (v) meats and processed foods in the nature of preserved, dried and cooked fruits and vegetables; and (vi) jellies or jams. See excerpt from the deposition transcript of Kelly Harrelson, pp. 70-72 attached hereto as Exhibit D. The USPTO records indicate that Defendant has not filed any post-registration amendment to remove these goods from the identifications of goods or to correct the false statements sworn to the USPTO.

Defendant's Cancellation Action and Threats

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17. The USPTO Examining Attorney assigned to review Plaintiff's HVMN Mark during its application phase permitted the application to register without any likelihood of confusion citation, thus finding the HVMN Mark to be unique and distinctive from any other mark on the trademark register. The Examining Attorney never cited Defendant's HPNC Marks as an issue – or any other mark for that matter.

- 18. Yet, on or around December 29, 2017, Defendant filed a Petition to Cancel Plaintiff's U.S. Trademark Registration No. 5,361,363 for the HVMN mark with the USPTO's Trademark Trial and Appeal Board ("TTAB") (the "Cancellation Action"). In this Petition, Defendant challenged Plaintiff's rights to the HVMN Mark, alleging a priority of use and a likelihood of confusion with the HPNC Marks. See a true and correct copy of the Petition for Cancellation (proceeding no. 92067643) filed by Defendant with the TTAB attached hereto as Exhibit E. Defendant alleges in its Petition for Cancellation that: (i) "[Defendant] began using the HUMANN mark in interstate commerce over a year before [Plaintiff's] first use in interstate commerce;" and (ii) the marks are similar in appearance, connotation, commercial appearance, the goods, and channels of trade to cause confusion in the marketplace. Id.
- On or about August 28, 2018, Plaintiff conducted a deposition of Bril 19. Flint, the Chief Technology and Supply Chain Officer at Human Power of N Company, in connection with the Cancellation Action. During the deposition, Defendant outwardly represented to Plaintiff's counsel that it views Plaintiff's use of the HVMN Mark as trademark infringement. See excerpt from deposition transcript of Bril Flint, p. 52 attached hereto as Exhibit F.
- 20. During the deposition, Defendant further threatened the possibility of filing and pursuing a trademark infringement lawsuit against Plaintiff. See id. Specifically, when asked by Plaintiff's counsel "[i]s there [a] reason why you haven't sued HVMN," Mr. Flint responded "[t]hat possibility remains open." Id.

- 21. On or about September 5, 2018, Defendant's counsel in the Cancellation Action communicated to Plaintiff's counsel that the dispute with Defendant regarding the HVMN Mark will not be resolved unless and until HVMN "abandon[s] its registration and change[s] its name to a mark that is not confusingly similar to my client's trademark for Humann." See email correspondence from Defendant's counsel attached hereto as Exhibit G.
- 22. Based on the foregoing, there is an actual controversy between the parties. Defendant's Petition for Cancellation, threats, and unreasonable demands are of immediate concern to Plaintiff as its business, brand, reputation, and investments are all at stake because of Defendant's actions.

COUNT I

DECLARATORY JUDGMENT OF NON-INFRINGEMENT OF TRADEMARKS

(15 U.S.C. § 1051 et seq.)

- 23. The allegations of paragraphs 1 through 22 are incorporated herein by reference as though fully set forth herein.
- 24. Defendant alleges in its Petition for Cancellation that: (i) "[Defendant] began using the HUMANN mark in interstate commerce over a year before [Plaintiff's] first use in interstate commerce;" and (ii) the marks are similar in appearance, connotation, commercial appearance, the goods, and channels of trade to cause confusion in the marketplace.
- 25. Plaintiff does not contest that Defendant began using the "HUMANN mark" in 2016. Plaintiff does not use the "HUMANN mark" as its mark is comprised of the term "HVMN."
- 26. Plaintiff's HVMN Mark and the HPNC Marks are distinctive in terms of appearance, connotation, commercial appearance, the goods, and channels of trade.
- 27. As a result, there is no likelihood of confusion based on Plaintiff's use of its HVMN Mark. Notwithstanding the lack of confusion, Defendant has claimed that 7

Plaintiff's HVMN Mark constitutes trademark infringement, and has threatened to bring a lawsuit against Plaintiff on this basis. Defendant has also indicated that this dispute cannot be resolved unless HVMN abandons its trademark registration for the HVMN Mark and change its company name. Such a demand threatens the viability of Plaintiffs business, brand, reputation, and investments.

- 28. An actual, present, and justiciable controversy has arisen between Plaintiff and Defendant concerning Plaintiff's rights to the HVMN Mark.
- 29. The substantial controversy between the parties warrants relief declaring the rights and liabilities of the parties pursuant to 28 U.S.C. § 2201, and declaring and finding that Plaintiff has not infringed Defendant's registrations for the HPNC Marks and that Defendant has no right to exclude or prohibit Plaintiff from using or registering its HVMN Mark in connection with the HVMN Goods and for those goods within the zone of natural expansion.

PRAYER FOR RELIEF

WHEREFORE, Plaintiff PRAYS FOR RELIEF for an Order and Judgment against Defendant as follows:

- A. Judgment be entered in favor of Plaintiff against Defendant as to the above Count;
- B. A declaratory judgment that Plaintiff's HVMN Mark does not infringe Defendant's HPNC Marks;
- C. A declaratory judgment that Defendant has no right to exclude or prohibit Plaintiff from using or registering its HVMN Mark in connection with the HVMN Goods and for those goods within the zone of natural expansion;
- D. Defendant be ordered to pay costs of this action, including attorneys' fees incurred by Plaintiff in connection with this lawsuit; and
- E. Such other and further relief as this Court deems just and proper.

DEMAND FOR JURY TRIAL Pursuant to Federal Rule of Civil Procedure 38 and Civil Local Rule 3-6, Plaintiff hereby demands a jury trial on all issues so triable. DATED: September 10, 2018 **BLANK ROME LLP** By: /s/ Jessica A. McElroy
Jeffrey Rosenfeld
Jessica A. McElroy
Attorneys for Plaintiff
HVMN Inc. 144119.00101/112072442v.1 **COMPLAINT**

EXHIBIT A

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HVMN

US Serial Number: 87351512 Application Filing Feb. 27, 2017

Date:

US Registration 5361363 Registration Date: Dec. 19, 2017

Number:

Filed as TEAS RF: Yes Currently TEAS RF: Yes

Register: Principal Mark Type: Trademark

Status: A cancellation proceeding is pending at the Trademark Trial and Appeal Board. For further information, see TTABVUE on the

Trademark Trial and Appeal Board web page.

Status Date: Jan. 04, 2018

Publication Date: Aug. 15, 2017 Notice of Oct. 10, 2017

Allowance Date:

Mark Information

Mark Literal HVMN

Elements:

Standard Character Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Claim:

Mark Drawing 4 - STANDARD CHARACTER MARK

Type:

Related Properties Information

International 1352823

Registration Number:

Property:

International A0065596/1352823

Application(s) /Registration(s) Based on this

Goods and Services

Note: The following symbols indicate that the registrant/owner has amended the goods/services:

• Brackets [..] indicate deleted goods/services;

Double parenthesis ((...)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
 Asterisks *..* identify additional (new) wording in the goods/services.

For: Sports performance drinks

International 032 - Primary Class U.S Class(es): 045, 046, 048

Class(es):

Class Status: ACTIVE Basis: 1(a)

> First Use: Jun. 27, 2017 Use in Commerce: Jun. 27, 2017

For: Cereal based energy bars; candy

International 030 - Primary Class **U.S Class(es):** 046

Class(es):

Class Status: ACTIVE Basis: 1(a)

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First Use: Jun. 27, 2017 Use in Commerce: Jun. 27, 2017

For: Dietary and nutritional supplements; dietary and nutritional supplements containing ketones; liquid protein supplements, namely,

U.S Class(es): 006, 018, 044, 046, 051, 052

ketogenic protein supplement shakes and drinks; nutritional supplements energy bars; nutritional supplements in the form of energy

gels, pastes and chews

International 005 - Primary Class

Class(es):

Class Status: ACTIVE

Basis: 1(a)

First Use: Jun. 27, 2017 Use in Commerce: Jun. 27, 2017

Basis Information (Case Level)

Filed Use:NoCurrently Use:YesAmended Use:NoFiled ITU:YesCurrently ITU:NoAmended ITU:NoFiled 44D:NoNoAmended 44D:NoFiled 44E:NoCurrently 44E:NoAmended 44E:No

Filed 66A: No Currently 66A: No Filed No Basis: No Currently No Basis: No

Current Owner(s) Information

Owner Name: HVMN INC.

Owner Address: 505 MONTGOMERY STREET

SUITE 1025

SAN FRANCISCO, CALIFORNIA 94111

UNITED STATES

Legal Entity Type: CORPORATION State or Country DELAWARE

Where Organized:

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Zachary A. Aria Docket Number: 144119-00101

Correspondent

Correspondent ZACHARY A ARIA
Name/Address: BLANK ROME LLP
ONE LOGAN SQUARE

PHILADELPHIA, PENNSYLVANIA 19103

UNITED STATES

Correspondent e- aria@blankrome.com mail: Correspondent e- Yes mail Authorized:

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Jan. 04, 2018	CANCELLATION INSTITUTED NO. 999999	67643
Dec. 19, 2017	REGISTERED-PRINCIPAL REGISTER	
Nov. 17, 2017	NOTICE OF ACCEPTANCE OF STATEMENT OF USE E-MAILED	
Nov. 16, 2017	ALLOWED PRINCIPAL REGISTER - SOU ACCEPTED	
Nov. 09, 2017	STATEMENT OF USE PROCESSING COMPLETE	66230
Oct. 24, 2017	USE AMENDMENT FILED	66230
Nov. 07, 2017	CASE ASSIGNED TO INTENT TO USE PARALEGAL	66230
Oct. 24, 2017	TEAS STATEMENT OF USE RECEIVED	
Oct. 10, 2017	NOA E-MAILED - SOU REQUIRED FROM APPLICANT	

Case 3:18-cv-05542-EMC Document 1 Filed 09/10/18 Page 14 of 69

Mar. 07, 2017 Mar. 02, 2017	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM NEW APPLICATION ENTERED IN TRAM	
May 22, 2017	ASSIGNED TO EXAMINER	81852
May 22, 2017	NON-FINAL ACTION WRITTEN	81852
May 22, 2017	NON-FINAL ACTION E-MAILED	6325
May 22, 2017	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325
May 26, 2017	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
Jun. 25, 2017	ASSIGNED TO LIE	70138
Jun. 28, 2017	CORRESPONDENCE RECEIVED IN LAW OFFICE	70138
Jun. 28, 2017	TEAS/EMAIL CORRESPONDENCE ENTERED	70138
Jul. 03, 2017	APPROVED FOR PUB - PRINCIPAL REGISTER	
Jul. 19, 2017	AUTOMATIC UPDATE OF ASSIGNMENT OF OWNERSHIP	
Jul. 26, 2017	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Aug. 15, 2017	PUBLISHED FOR OPPOSITION	
Aug. 15, 2017	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	

TM Staff and Location Information

TM Staff Information - None File Location

Current Location: PUBLICATION AND ISSUE SECTION Date in Location: Nov. 16, 2017

Assignment Abstract Of Title Information

Summary

Total Assignments: 1 Registrant: HVMN INC.

Assignment 1 of 1

Conveyance: CHANGE OF NAME

Date Recorded: Jul. 10, 2017

Supporting assignment-tm-6102-0139.pdf

Documents:

Assignor

Name: NOOTROBOX, INC. Execution Date: Jun. 26, 2017

 Legal Entity Type:
 CORPORATION

 State or Country
 No Place Where Organized Found

Where Organized:

Assignee

Name: HVMN INC.

Legal Entity Type: CORPORATION State or Country DELAWARE

Where Organized:

Address: 505 MONTGOMERY STREET

SUITE 1025

SAN FRANCISCO, CALIFORNIA 94111

Correspondent

Correspondent ZACHARY A. ARIA

Name:

Correspondent BLANK ROME LLP

Address: ONE LOGAN SQUARE, 8TH FLOOR

PHILADELPHIA, PA 19103

Domestic Representative - Not Found

Proceedings

Summary

Number of 1 Proceedings:

Type of Proceeding: Cancellation

Proceeding 92067643 Filing Date: Dec 29, 2017

Number:

Status: Pending Status Date: Dec 29, 2017

Interlocutory WENDY COHEN

Attorney:

Defendant

Name: HVMN Inc.

Correspondent ZACHARY A ARIA Address: BLANK ROME LLP

ONE LOGAN SQUARE PHILADELPHIA PA , 19103

UNITED STATES

Correspondent e- aria@blankrome.com, saryani-sabet@blankrome.com

mail:

Associated marks			
Mark	Application Status	Serial Number	Registration Number
HVMN	Cancellation Pending	<u>87351512</u>	<u>5361363</u>
	Plaintiff(s)		

Name: Human Power of N Company

Correspondent STEVEN D SMIT

Address: GRAVES DOUGHERTY HEARON & MOODY PC

401 CONGRESS AVENUE SUITE 2200

AUSTIN TX , 78701 UNITED STATES

 $\textbf{Correspondent e-} \quad \underline{trademarks@gdhm.com} \; , \; \underline{ssmit@gdhm.com} \; \\$

mail:

Associated marks				
Mark		Application Status	Serial Number	Registration Number
HUMANN		Registered	86860810	5351133
HUMAN N		Registered	87024417	<u>5355870</u>
		Prosecution History		
Entry Number	History Toyt		Data	Duo Data

Entry Number	History Text	Date	Due Date
1	FILED AND FEE	Dec 29, 2017	
2	NOTICE AND TRIAL DATES SENT; ANSWER DUE:	Jan 04, 2018	Feb 13, 2018
3	PENDING, INSTITUTED	Jan 04, 2018	
4	ANSWER	Feb 06, 2018	
5	P DISCL OF WITNESS WHO MAY OFFER TEST	Aug 13, 2018	
6	D MOTION	Aug 13, 2018	

HVMN

Reg. No. 5,361,363

Registered Dec. 19, 2017

Int. Cl.: 5, 30, 32

Trademark

Principal Register

HVMN INC. (DELAWARE CORPORATION)

505 Montgomery Street

Suite 1025

San Francisco, CALIFORNIA 94111

CLASS 5: Dietary and nutritional supplements; dietary and nutritional supplements containing ketones; liquid protein supplements, namely, ketogenic protein supplement shakes and drinks; nutritional supplements energy bars; nutritional supplements in the form of energy gels, pastes and chews

FIRST USE 6-27-2017; IN COMMERCE 6-27-2017

CLASS 30: Cereal based energy bars; candy

FIRST USE 6-27-2017; IN COMMERCE 6-27-2017

CLASS 32: Sports performance drinks

FIRST USE 6-27-2017; IN COMMERCE 6-27-2017

THE MARK CONSISTS OF STANDARD CHARACTERS WITHOUT CLAIM TO ANY PARTICULAR FONT STYLE, SIZE OR COLOR

SER. NO. 87-351,512, FILED 02-27-2017



Performing the Functions and Duties of the Under Secretary of Commerce for

Intellectual Property and Director of the United States Patent and Trademark Office

REQUIREMENTS TO MAINTAIN YOUR FEDERAL TRADEMARK REGISTRATION

WARNING: YOUR REGISTRATION WILL BE CANCELLED IF YOU DO NOT FILE THE DOCUMENTS BELOW DURING THE SPECIFIED TIME PERIODS.

Requirements in the First Ten Years* What and When to File:

- *First Filing Deadline:* You must file a Declaration of Use (or Excusable Nonuse) between the 5th and 6th years after the registration date. See 15 U.S.C. §§1058, 1141k. If the declaration is accepted, the registration will continue in force for the remainder of the ten-year period, calculated from the registration date, unless cancelled by an order of the Commissioner for Trademarks or a federal court.
- Second Filing Deadline: You must file a Declaration of Use (or Excusable Nonuse) and an Application for Renewal between the 9th and 10th years after the registration date.* See 15 U.S.C. §1059.

Requirements in Successive Ten-Year Periods* What and When to File:

• You must file a Declaration of Use (or Excusable Nonuse) and an Application for Renewal between every 9th and 10th-year period, calculated from the registration date.*

Grace Period Filings*

The above documents will be accepted as timely if filed within six months after the deadlines listed above with the payment of an additional fee.

*ATTENTION MADRID PROTOCOL REGISTRANTS: The holder of an international registration with an extension of protection to the United States under the Madrid Protocol must timely file the Declarations of Use (or Excusable Nonuse) referenced above directly with the United States Patent and Trademark Office (USPTO). The time periods for filing are based on the U.S. registration date (not the international registration date). The deadlines and grace periods for the Declarations of Use (or Excusable Nonuse) are identical to those for nationally issued registrations. See 15 U.S.C. §§1058, 1141k. However, owners of international registrations do not file renewal applications at the USPTO. Instead, the holder must file a renewal of the underlying international registration at the International Bureau of the World Intellectual Property Organization, under Article 7 of the Madrid Protocol, before the expiration of each ten-year term of protection, calculated from the date of the international registration. See 15 U.S.C. §1141j. For more information and renewal forms for the international registration, see http://www.wipo.int/madrid/en/.

NOTE: Fees and requirements for maintaining registrations are subject to change. Please check the USPTO website for further information. With the exception of renewal applications for registered extensions of protection, you can file the registration maintenance documents referenced above online at h ttp://www.uspto.gov.

NOTE: A courtesy e-mail reminder of USPTO maintenance filing deadlines will be sent to trademark owners/holders who authorize e-mail communication and maintain a current e-mail address with the USPTO. To ensure that e-mail is authorized and your address is current, please use the Trademark Electronic Application System (TEAS) Correspondence Address and Change of Owner Address Forms available at http://www.uspto.gov.

Page: 2 of 2 / RN # 5361363

EXHIBIT B

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Mark: HUMANN

HUMANN

US Serial Number: 86860810 Application Filing Dec. 29, 2015

Date:

US Registration 5351133 Registration Date: Dec. 05, 2017

Number:

Filed as TEAS RF: Yes Currently TEAS RF: Yes

Register: Principal

Mark Type: Trademark

TM5 Common Status Descriptor:

LIVE/REGISTRATION/Issued and Active

The trademark application has been registered with the Office.

Status: Registered. The registration date is used to determine when post-registration maintenance documents are due.

Status Date: Dec. 05, 2017

Publication Date: Nov. 01, 2016

Notice of Dec. 27, 2016

Allowance Date:

Mark Information

Mark Literal HUMANN

Elements:

Standard Character Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Claim:

Mark Drawing 4 - STANDARD CHARACTER MARK

Type:

Goods and Services

Note: The following symbols indicate that the registrant/owner has amended the goods/services:

Brackets [..] indicate deleted goods/services;

• Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and

Asterisks *..* identify additional (new) wording in the goods/services.

For: Light Beverages in the nature of instant flavored drinks, namely, fruit, grain, and vegetable juices and soft drinks; preparations in liquid or powder form for making non-alcoholic fruit, vegetable, herbal, and cola beverages, namely, fruit, grain, and vegetable juices and

combinations thereof

International 032 - Primary Class U.S Class(es): 045, 046, 048

Class(es):

Class Status: ACTIVE

Basis: 1(a)

First Use: Jun. 01, 2016 Use in Commerce: Nov. 09, 2016

For: Meats and Processed Foods in the nature of preserved, dried and cooked fruits and vegetable; jellies and jams

International 029 - Primary Class U.S Class(es): 046

Class(es):

Class Status: ACTIVE

Basis: 1(a)

First Use: Jun. 01, 2016 **Use in Commerce:** Nov. 09, 2016

For: Pharmaceuticals in the nature of health and wellness products, namely, nutraceuticals for use as dietary supplements, dietetic and functional foods, namely, bars, powders, tablets, gummies, chews, and capsules containing combinations of protein, fruits, vegetables, grains, amino acids, minerals, nutrients and vitamins; beverages, namely, ready to drink and powdered drink mixes containing combinations of protein, fruits, vegetables, grains, amino acids, fortified with mineral, nutrients, and vitamins

International 005 - Primary Class

U.S Class(es): 006, 018, 044, 046, 051, 052

Class(es):

Class Status: ACTIVE

Basis: 1(a)

First Use: Jun. 01, 2016 Use in Commerce: Jun. 01, 2016

Basis Information (Case Level)

Filed Use: No Currently Use: Yes Amended Use: No Filed ITU: Yes Currently ITU: No Amended ITU: No Filed 44D: No Currently 44D: No Amended 44D: No Filed 44E: No Currently 44E: No Amended 44E: No Filed 66A: No Currently 66A: No

Filed 66A: No Currently 66A: No Filed No Basis: No Currently No Basis: No

Current Owner(s) Information

Owner Name: HUMAN POWER OF N COMPANY
Owner Address: 1120 S CAPITAL OF TEXAS HWY

BLDG 1 SUITE 210

AUSTIN, TEXAS UNITED STATES 78746

Legal Entity Type: CORPORATION State or Country TEXAS Where Organized:

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Steven D. Smit

Docket Number: A13805.12

Attorney Primary trademarks@gdhm.com
Email Address:

Attorney Email Yes
Authorized:

Correspondent

Correspondent STEVEN D. SMIT

Name/Address: GRAVES, DOUGHERTY, HEARON, & MOODY P.C.

401 CONGRESS AVENUE SUITE 2200 AUSTIN, TEXAS UNITED STATES 78701

Phone: 512.480.4653 **Fax:** 512.480.5853

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Jan. 31, 2018	AUTOMATIC UPDATE OF ASSIGNMENT OF OWNERSHIP	
Jan. 10, 2018	POST REGISTRATION ACTION MAILED NO RESPONSE REQUIRED	71378
Jan. 08, 2018	CASE ASSIGNED TO POST REGISTRATION PARALEGAL	71378
Dec. 12, 2017	TEAS VOLUNTARY AMENDMENT RECEIVED	
Dec. 05, 2017	REGISTERED-PRINCIPAL REGISTER	
Oct. 28, 2017	NOTICE OF ACCEPTANCE OF STATEMENT OF USE E-MAILED	
Oct. 27, 2017	ALLOWED PRINCIPAL REGISTER - SOU ACCEPTED	
Oct. 24, 2017	TEAS/EMAIL CORRESPONDENCE ENTERED	68171
Oct. 24, 2017	CORRESPONDENCE RECEIVED IN LAW OFFICE	68171
Oct. 17, 2017	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
Apr. 27, 2017	NOTIFICATION OF NON-FINAL ACTION E-MAILED	
Apr. 27, 2017	NON-FINAL ACTION E-MAILED	
Apr. 27, 2017	SU - NON-FINAL ACTION - WRITTEN	81095
Apr. 15, 2017	STATEMENT OF USE PROCESSING COMPLETE	71034

Case 3:18-cv-05542-EMC Document 1 Filed 09/10/18 Page 21 of 69

Jan. 18, 2017	USE AMENDMENT FILED	71034
Mar. 22, 2017	AUTOMATIC UPDATE OF ASSIGNMENT OF OWNERSHIP	
Feb. 06, 2017	CASE ASSIGNED TO INTENT TO USE PARALEGAL	71034
Jan. 18, 2017	TEAS STATEMENT OF USE RECEIVED	
Dec. 27, 2016	NOA E-MAILED - SOU REQUIRED FROM APPLICANT	
Nov. 01, 2016	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Nov. 01, 2016	PUBLISHED FOR OPPOSITION	
Oct. 12, 2016	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Sep. 27, 2016	LAW OFFICE PUBLICATION REVIEW COMPLETED	68171
Sep. 21, 2016	ASSIGNED TO LIE	68171
Sep. 06, 2016	APPROVED FOR PUB - PRINCIPAL REGISTER	
Aug. 13, 2016	PREVIOUS ALLOWANCE COUNT WITHDRAWN	
Jun. 21, 2016	WITHDRAWN FROM PUB - OG REVIEW QUERY	99910
Jun. 04, 2016	APPROVED FOR PUB - PRINCIPAL REGISTER	
Jun. 04, 2016	EXAMINER'S AMENDMENT ENTERED	88888
Jun. 04, 2016	NOTIFICATION OF EXAMINERS AMENDMENT E-MAILED	6328
Jun. 04, 2016	EXAMINERS AMENDMENT E-MAILED	6328
Jun. 04, 2016	EXAMINERS AMENDMENT -WRITTEN	81095
Jun. 01, 2016	TEAS/EMAIL CORRESPONDENCE ENTERED	88889
May 31, 2016	CORRESPONDENCE RECEIVED IN LAW OFFICE	88889
May 31, 2016	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
Apr. 19, 2016	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325
Apr. 19, 2016	NON-FINAL ACTION E-MAILED	6325
Apr. 19, 2016	NON-FINAL ACTION WRITTEN	81095
Apr. 14, 2016	ASSIGNED TO EXAMINER	81095
Jan. 09, 2016	NOTICE OF PSEUDO MARK E-MAILED	
Jan. 08, 2016	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Jan. 01, 2016	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information - None

File Location

Date in Location: Jan. 10, 2018 Current Location: TMEG LAW OFFICE 105

Assignment Abstract Of Title Information

Summary

Total Assignments: 2 Registrant: HUMAN POWER OF N COMPANY, INC.

Assignment 1 of 2

Conveyance: ASSIGNS THE ENTIRE INTEREST

Reel/Frame: 6009/0115 Pages: 2

Date Recorded: Mar. 09, 2017

Supporting assignment-tm-6009-0115.pdf

Documents:

Name: NEOGENIS LABORATORIES, INC

Execution Date: Apr. 20, 2016 Legal Entity Type: CORPORATION State or Country TEXAS Where Organized:

Assignee

Name: HUMAN POWER OF N COMPANY, INC.

Legal Entity Type: CORPORATION State or Country TEXAS Where Organized:

Address: 1120 S. CAPITAL OF TEXAS HWY

BUILDING 1, SUITE 210 AUSITN, TEXAS 78746

Correspondent

Correspondent STEVEN D. SMIT

Name:

Correspondent 401 CONGRESS AVENUE, SUITE 2200

Address: AUSTIN, TX 78701

Domestic Representative - Not Found

Assignment 2 of 2

Conveyance: CHANGE OF NAME

Reel/Frame: 6247/0502 Pages: 4

Date Recorded: Jan. 11, 2018

Supporting assignment-tm-6247-0502.pdf

Documents:

Assignor

Name: HUMAN POWER OF N COMPANY, INC. Execution Date: Jan. 11, 2018

Legal Entity Type: CORPORATION State or Country TEXAS

Where Organized:

DBA, AKA, TA, FORMERLY NEOGENIS LABORATORIES

Formerly:

Assignee

Name: HUMAN POWER OF N COMPANY

Legal Entity Type: CORPORATION State or Country TEXAS

Where Organized:

Address: 1120 S CAPITAL OF TEXAS HWY

BLDG 1 SUITE 210 AUSTIN, TEXAS 78746

Correspondent

Correspondent STEVEN D. SMIT/GRAVES, DOUGHERTY, HEARON

Name:

Correspondent 401 CONGRESS AVENUE

Address: SUITE 2200 AUSTIN, TX 78701

Domestic Representative - Not Found

Proceedings

Summary

Number of 1 Proceedings:

Type of Proceeding: Cancellation

Proceeding 92067643

Number:

Filing Date: Dec 29, 2017

Status Date: Dec 29, 2017 Status: Pending

Interlocutory WENDY COHEN

Attorney:

Defendant

Name: HVMN Inc. Correspondent ZACHARY A ARIA Address: BLANK ROME LLP ONE LOGAN SQUARE

PHILADELPHIA PA UNITED STATES, 19103

Correspondent e- aria@blankrome.com, saryani-sabet@blankrome.com

mail:

Associated marks Serial Registration Mark **Application Status** Number Number **HVMN** Cancellation Pending 87351512 5361363 Plaintiff(s)

Name: Human Power of N Company

Case 3:18-cv-05542-EMC Document 1 Filed 09/10/18 Page 23 of 69

D MOTION

Correspondent STEVEN D SMIT
Address: GRAVES DOUGHERTY HEARON & MOODY PC

401 CONGRESS AVENUE SUITE 2200 AUSTIN TX UNITED STATES , 78701

6

Correspondent e- trademarks@gdhm.com, ssmit@gdhm.com

mail:

Associated marks				
Mark		Application Status	Serial Number	Registration Number
HUMANN		Registered	86860810	5351133
HUMAN N		Registered	87024417	5355870
Prosecution History				
Entry Number	History Text		Date	Due Date
1	FILED AND FEE		Dan 20, 2017	
			Dec 29, 2017	
2	NOTICE AND TRIAL DATES SENT; ANSWER DUE:		Jan 04, 2018	Feb 13, 2018
2 3	NOTICE AND TRIAL DATES SENT; ANSWER DUE: PENDING, INSTITUTED		,	Feb 13, 2018
_	•		Jan 04, 2018	Feb 13, 2018

Aug 13, 2018

HUMANN

Reg. No. 5,351,133

Registered Dec. 05, 2017

Int. Cl.: 5, 29, 32

Trademark

Principal Register

HUMAN POWER OF N COMPANY, INC. (TEXAS CORPORATION)

1120 S. Capital Of Texas Hwy

Building 1, Suite 210 Ausitn, TEXAS 78746

CLASS 5: Pharmaceuticals in the nature of health and wellness products, namely, nutraceuticals for use as dietary supplements, dietetic and functional foods, namely, bars, powders, tablets, gummies, chews, and capsules containing combinations of protein, fruits, vegetables, grains, amino acids, minerals, nutrients and vitamins; beverages, namely, ready to drink and powdered drink mixes containing combinations of protein, fruits, vegetables, grains, amino acids, fortified with mineral, nutrients, and vitamins

FIRST USE 6-1-2016; IN COMMERCE 6-1-2016

CLASS 29: Meats and Processed Foods in the nature of preserved, dried and cooked fruits and vegetable; jellies and jams

FIRST USE 6-1-2016; IN COMMERCE 11-9-2016

CLASS 32: Light Beverages in the nature of instant flavored drinks, namely, fruit, grain, and vegetable juices and soft drinks; preparations in liquid or powder form for making non-alcoholic fruit, vegetable, herbal, and cola beverages, namely, fruit, grain, and vegetable juices and combinations thereof

FIRST USE 6-1-2016; IN COMMERCE 11-9-2016

THE MARK CONSISTS OF STANDARD CHARACTERS WITHOUT CLAIM TO ANY PARTICULAR FONT STYLE, SIZE OR COLOR

SER. NO. 86-860,810, FILED 12-29-2015



Performing the Functions and Duties of the Under Secretary of Commerce for Intellectual Property and Director of the United States Patent and Trademark Office

REQUIREMENTS TO MAINTAIN YOUR FEDERAL TRADEMARK REGISTRATION

WARNING: YOUR REGISTRATION WILL BE CANCELLED IF YOU DO NOT FILE THE DOCUMENTS BELOW DURING THE SPECIFIED TIME PERIODS.

Requirements in the First Ten Years* What and When to File:

- *First Filing Deadline:* You must file a Declaration of Use (or Excusable Nonuse) between the 5th and 6th years after the registration date. See 15 U.S.C. §§1058, 1141k. If the declaration is accepted, the registration will continue in force for the remainder of the ten-year period, calculated from the registration date, unless cancelled by an order of the Commissioner for Trademarks or a federal court.
- Second Filing Deadline: You must file a Declaration of Use (or Excusable Nonuse) and an Application for Renewal between the 9th and 10th years after the registration date.* See 15 U.S.C. §1059.

Requirements in Successive Ten-Year Periods* What and When to File:

• You must file a Declaration of Use (or Excusable Nonuse) and an Application for Renewal between every 9th and 10th-year period, calculated from the registration date.*

Grace Period Filings*

The above documents will be accepted as timely if filed within six months after the deadlines listed above with the payment of an additional fee.

*ATTENTION MADRID PROTOCOL REGISTRANTS: The holder of an international registration with an extension of protection to the United States under the Madrid Protocol must timely file the Declarations of Use (or Excusable Nonuse) referenced above directly with the United States Patent and Trademark Office (USPTO). The time periods for filing are based on the U.S. registration date (not the international registration date). The deadlines and grace periods for the Declarations of Use (or Excusable Nonuse) are identical to those for nationally issued registrations. See 15 U.S.C. §§1058, 1141k. However, owners of international registrations do not file renewal applications at the USPTO. Instead, the holder must file a renewal of the underlying international registration at the International Bureau of the World Intellectual Property Organization, under Article 7 of the Madrid Protocol, before the expiration of each ten-year term of protection, calculated from the date of the international registration. See 15 U.S.C. §1141j. For more information and renewal forms for the international registration, see http://www.wipo.int/madrid/en/.

NOTE: Fees and requirements for maintaining registrations are subject to change. Please check the USPTO website for further information. With the exception of renewal applications for registered extensions of protection, you can file the registration maintenance documents referenced above online at h ttp://www.uspto.gov.

NOTE: A courtesy e-mail reminder of USPTO maintenance filing deadlines will be sent to trademark owners/holders who authorize e-mail communication and maintain a current e-mail address with the USPTO. To ensure that e-mail is authorized and your address is current, please use the Trademark Electronic Application System (TEAS) Correspondence Address and Change of Owner Address Forms available at http://www.uspto.gov.

Page: 2 of 2 / RN # 5351133

Generated on: This page was generated by TSDR on 2018-09-06 17:53:22 EDT

Mark: HUMAN N

humanⁿ

US Serial Number: 87024417 Application Filing May 04, 2016

Date:

US Registration 5355870 Registration Date: Dec. 12, 2017

Number:

Filed as TEAS RF: Yes Currently TEAS RF: Yes

Register: Principal

Mark Type: Trademark

Status: Registered. The registration date is used to determine when post-registration maintenance documents are due.

Status Date: Dec. 12, 2017

Publication Date: Feb. 28, 2017 Notice of Apr. 25, 2017

Allowance Date:

Mark Information

Mark Literal HUMAN N

Elements:

Standard Character No

Claim:

Mark Drawing 3 - AN ILLUSTRATION DRAWING WHICH INCLUDES WORD(S)/ LETTER(S)/NUMBER(S)

Type

Description of The mark consists of the word "human" with an additional raised "n" at the end.

Mark

Color(s) Claimed: Color is not claimed as a feature of the mark.

Design Search 24.17.25 - Biohazard symbol; Degree sign (°); Equal sign (=); Pound sign (#); Handicapped symbol; Hazardous materials symbol; Less

Code(s): than symbol < (mathematical); Greater than symbol > (mathematical)

Goods and Services

Note: The following symbols indicate that the registrant/owner has amended the goods/services:

Brackets [..] indicate deleted goods/services;

Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and

Asterisks *..* identify additional (new) wording in the goods/services.

For: Fruit and vegetable beverages, instant fruit and vegetable flavored drinks, soft drinks, and other preparations in liquid or powder form

for making nonalcoholic fruit, vegetable, herbal, and cola beverages

International 032 - Primary Class U.S Class(es): 045, 046, 048

Class(es):

Class Status: ACTIVE

Basis: 1(a)

First Use: Jun. 01, 2016 Use in Commerce: Jun. 01, 2016

For: Processed meats and processed foods, namely, preserved, dried, and cooked fruits and vegetables; jellies and jams

International 029 - Primary Class U.S Class(es): 046

Class(es):

Class Status: ACTIVE

Basis: 1(a)

First Use: Jun. 01, 2016 **Use in Commerce:** Jun. 25, 2016

For: Pharmaceuticals, health and wellness products, namely, nutraceuticals for use as dietary supplements, dietetic and functional foods and beverages adapted for medical purposes; dietary and nutritional supplements; herbal supplements; vitamin and mineral supplements; dietary supplements for weight-loss or energy boosting in the nature of powders, tablets, capsules. lozenges, and

nutritional supplement meal replacement bars for boosting energy, and immunity boosting nutritional supplements

International 005 - Primary Class U.S Class(es): 006, 018, 044, 046, 051, 052

Class(es):

Class Status: ACTIVE

Basis: 1(a)

First Use: Jun. 01, 2016 **Use in Commerce:** Jun. 25, 2016

Basis Information (Case Level)

 Filed Use:
 No
 Currently Use:
 Yes
 Amended Use:
 No

 Filed ITU:
 Yes
 Currently ITU:
 No
 Amended ITU:
 No

 Filed 44D:
 No
 Currently 44D:
 No
 Amended 44D:
 No

 Filed 44E:
 No
 Currently 44E:
 No
 Amended 44E:
 No

Filed 66A: No Currently 66A: No Filed No Basis: No Currently No Basis: No

Current Owner(s) Information

Owner Name: HUMAN POWER OF N COMPANY
Owner Address: 1120 S CAPITAL OF TEXAS HWY

BLDG 1 SUITE 210 AUSTIN, TEXAS 78746 UNITED STATES

Legal Entity Type: CORPORATION State or Country TEXAS Where Organized:

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Steven D. Smit Docket Number: A13805.12

Attorney Primary trademarks@gdhm.com
Email Address: Authorized:

Correspondent

Correspondent STEVEN D. SMIT

Name/Address: GRAVES, DOUGHERTY, HEARON, & MOODY P.C.

401 CONGRESS AVENUE SUITE 2200

AUSTIN, TEXAS 78701 UNITED STATES

Phone: 512.480.5600 **Fax:** 512.480.5853

Correspondent e- trademarks@gdhm.com ssmit@gdhm.com Correspondent e- Yes mail: Correspondent e- Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Jan. 31, 2018	AUTOMATIC UPDATE OF ASSIGNMENT OF OWNERSHIP	
Dec. 12, 2017	REGISTERED-PRINCIPAL REGISTER	
Nov. 07, 2017	NOTICE OF ACCEPTANCE OF STATEMENT OF USE E-MAILED	
Nov. 05, 2017	ALLOWED PRINCIPAL REGISTER - SOU ACCEPTED	
Oct. 03, 2017	STATEMENT OF USE PROCESSING COMPLETE	65362
Sep. 19, 2017	USE AMENDMENT FILED	65362
Sep. 28, 2017	CASE ASSIGNED TO INTENT TO USE PARALEGAL	65362
Sep. 19, 2017	TEAS STATEMENT OF USE RECEIVED	
Apr. 25, 2017	NOA E-MAILED - SOU REQUIRED FROM APPLICANT	
Mar. 22, 2017	AUTOMATIC UPDATE OF ASSIGNMENT OF OWNERSHIP	
Feb. 28, 2017	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Feb. 28, 2017	PUBLISHED FOR OPPOSITION	
Feb. 08, 2017	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Jan. 23, 2017	APPROVED FOR PUB - PRINCIPAL REGISTER	

May 07, 2016	NEW APPLICATION ENTERED IN TRAM	
May 09, 2016	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Aug. 19, 2016	ASSIGNED TO EXAMINER	69965
Aug. 21, 2016	NON-FINAL ACTION WRITTEN	69965
Aug. 21, 2016	NON-FINAL ACTION E-MAILED	6325
Aug. 21, 2016	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325
Jan. 20, 2017	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
Jan. 20, 2017	CORRESPONDENCE RECEIVED IN LAW OFFICE	88889
Jan. 20, 2017	TEAS/EMAIL CORRESPONDENCE ENTERED	88889

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: PUBLICATION AND ISSUE SECTION Date in Location: Nov. 05, 2017

Assignment Abstract Of Title Information

Summary

Total Assignments: 2 Registrant: HUMAN POWER OF N COMPANY, INC.

Assignment 1 of 2

Conveyance: ASSIGNS THE ENTIRE INTEREST

Reel/Frame: 6009/0115 Pages: 2

Date Recorded: Mar. 09, 2017

Supporting assignment-tm-6009-0115.pdf

Documents:

Assignor

 Name:
 NEOGENIS LABORATORIES, INC
 Execution Date:
 Apr. 20, 2016

 Legal Entity Type:
 CORPORATION
 State or Country
 TEXAS

Where Organized:

Assignee

Name: HUMAN POWER OF N COMPANY, INC.

Legal Entity Type: CORPORATION State or Country TEXAS

Where Organized:

Address: 1120 S. CAPITAL OF TEXAS HWY

BUILDING 1, SUITE 210 AUSITN, TEXAS 78746

Correspondent

Correspondent STEVEN D. SMIT

Name:

Correspondent 401 CONGRESS AVENUE, SUITE 2200

Address: AUSTIN, TX 78701

Domestic Representative - Not Found

Assignment 2 of 2

Conveyance: CHANGE OF NAME

Reel/Frame: 6247/0502 Pages: 4

Date Recorded: Jan. 11, 2018

Supporting assignment-tm-6247-0502.pdf

Documents:

Assignor

Name: <u>HUMAN POWER OF N COMPANY, INC.</u> Execution Date: Jan. 11, 2018

Legal Entity Type: CORPORATION State or Country TEXAS

Where Organized:

DBA, AKA, TA, FORMERLY NEOGENIS LABORATORIES

Formerly:

Assignee

Name: HUMAN POWER OF N COMPANY

Legal Entity Type: CORPORATION State or Country TEXAS

Where Organized:

Address: 1120 S CAPITAL OF TEXAS HWY

BLDG 1 SUITE 210 AUSTIN, TEXAS 78746

Correspondent

Correspondent STEVEN D. SMIT/GRAVES, DOUGHERTY, HEARON

Name:

Correspondent 401 CONGRESS AVENUE

Address: SUITE 2200

AUSTIN, TX 78701

Domestic Representative - Not Found

Proceedings

Summary

Number of 1 Proceedings:

Type of Proceeding: Cancellation

Proceeding 92067643

Status: Pending

Filing Date: Dec 29, 2017

Number:

Status Date: Dec 29, 2017

Interlocutory WENDY COHEN

Attorney:

Defendant

Name: HVMN Inc.

Correspondent ZACHARY A ARIA

Address: BLANK ROME LLP

ONE LOGAN SQUARE PHILADELPHIA PA, 19103

UNITED STATES

 $\textbf{Correspondent e-} \ \ \underline{aria@blankrome.com} \ , \ \underline{saryani\text{-}sabet@blankrome.com}$

mail:

Associated	marks

710000latou marko			
Mark	Application Status	Serial Number	Registration Number
HVMN	Cancellation Pending	<u>87351512</u>	<u>5361363</u>

Plaintiff(s)

Name: Human Power of N Company

Correspondent STEVEN D SMIT

Address: GRAVES DOUGHERTY HEARON & MOODY PC

401 CONGRESS AVENUE SUITE 2200

AUSTIN TX , 78701 **UNITED STATES**

Correspondent e- trademarks@gdhm.com, ssmit@gdhm.com

mail:

Associated marks			
Mark	Application Status	Serial Number	Registration Number
HUMANN	Registered	<u>86860810</u>	<u>5351133</u>
HUMAN N	Registered	<u>87024417</u>	<u>5355870</u>

Prosecution History			
Entry Number	History Text	Date	Due Date
1	FILED AND FEE	Dec 29, 2017	
2	NOTICE AND TRIAL DATES SENT; ANSWER DUE:	Jan 04, 2018	Feb 13, 2018
3	PENDING, INSTITUTED	Jan 04, 2018	
4	ANSWER	Feb 06, 2018	
5	P DISCL OF WITNESS WHO MAY OFFER TEST	Aug 13, 2018	
6	D MOTION	Aug 13, 2018	

cument 1 Filed 09/10/25 Timerica nited United States Patent and Trademark Office

Reg. No. 5,355,870

Registered Dec. 12, 2017

Int. Cl.: 5, 29, 32

Trademark

Principal Register

HUMAN POWER OF N COMPANY, INC. (TEXAS CORPORATION)

Bldg 1, Suite 210

1120 S. Capital Of Tx Hwy, Bld 1 Ste 210

Ausitn, TEXAS 78746

CLASS 5: Pharmaceuticals, health and wellness products, namely, nutraceuticals for use as dietary supplements, dietetic and functional foods and beverages adapted for medical purposes; dietary and nutritional supplements; herbal supplements; vitamin and mineral supplements; dietary supplements for weight-loss or energy boosting in the nature of powders, tablets, capsules. lozenges, and nutritional supplement meal replacement bars for boosting energy, and immunity boosting nutritional supplements

FIRST USE 6-1-2016; IN COMMERCE 6-25-2016

CLASS 29: Processed meats and processed foods, namely, preserved, dried, and cooked fruits and vegetables; jellies and jams

FIRST USE 6-1-2016; IN COMMERCE 6-25-2016

CLASS 32: Fruit and vegetable beverages, instant fruit and vegetable flavored drinks, soft drinks, and other preparations in liquid or powder form for making nonalcoholic fruit, vegetable, herbal, and cola beverages

FIRST USE 6-1-2016; IN COMMERCE 6-1-2016

The mark consists of the word "human" with an additional raised "n" at the end.

SER. NO. 87-024,417, FILED 05-04-2016



Under Secretary of Commerce for Intellectual Property and Director of the United States Patent and Trademark Office

REQUIREMENTS TO MAINTAIN YOUR FEDERAL TRADEMARK REGISTRATION

WARNING: YOUR REGISTRATION WILL BE CANCELLED IF YOU DO NOT FILE THE DOCUMENTS BELOW DURING THE SPECIFIED TIME PERIODS.

Requirements in the First Ten Years* What and When to File:

- *First Filing Deadline:* You must file a Declaration of Use (or Excusable Nonuse) between the 5th and 6th years after the registration date. See 15 U.S.C. §§1058, 1141k. If the declaration is accepted, the registration will continue in force for the remainder of the ten-year period, calculated from the registration date, unless cancelled by an order of the Commissioner for Trademarks or a federal court.
- Second Filing Deadline: You must file a Declaration of Use (or Excusable Nonuse) and an Application for Renewal between the 9th and 10th years after the registration date.* See 15 U.S.C. §1059.

Requirements in Successive Ten-Year Periods* What and When to File:

• You must file a Declaration of Use (or Excusable Nonuse) and an Application for Renewal between every 9th and 10th-year period, calculated from the registration date.*

Grace Period Filings*

The above documents will be accepted as timely if filed within six months after the deadlines listed above with the payment of an additional fee.

*ATTENTION MADRID PROTOCOL REGISTRANTS: The holder of an international registration with an extension of protection to the United States under the Madrid Protocol must timely file the Declarations of Use (or Excusable Nonuse) referenced above directly with the United States Patent and Trademark Office (USPTO). The time periods for filing are based on the U.S. registration date (not the international registration date). The deadlines and grace periods for the Declarations of Use (or Excusable Nonuse) are identical to those for nationally issued registrations. See 15 U.S.C. §§1058, 1141k. However, owners of international registrations do not file renewal applications at the USPTO. Instead, the holder must file a renewal of the underlying international registration at the International Bureau of the World Intellectual Property Organization, under Article 7 of the Madrid Protocol, before the expiration of each ten-year term of protection, calculated from the date of the international registration. See 15 U.S.C. §1141j. For more information and renewal forms for the international registration, see http://www.wipo.int/madrid/en/.

NOTE: Fees and requirements for maintaining registrations are subject to change. Please check the USPTO website for further information. With the exception of renewal applications for registered extensions of protection, you can file the registration maintenance documents referenced above online at h ttp://www.uspto.gov.

NOTE: A courtesy e-mail reminder of USPTO maintenance filing deadlines will be sent to trademark owners/holders who authorize e-mail communication and maintain a current e-mail address with the USPTO. To ensure that e-mail is authorized and your address is current, please use the Trademark Electronic Application System (TEAS) Correspondence Address and Change of Owner Address Forms available at http://www.uspto.gov.

Page: 2 of 2 / RN # 5355870

EXHIBIT C

Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number.

OMB No. 0651-0054 (Exp 10/31/2017)

Trademark/Service Mark Statement of Use (15 U.S.C. Section 1051(d))

The table below presents the data as entered.

Input Field	Entered
SERIAL NUMBER	87024417
LAW OFFICE ASSIGNED	LAW OFFICE 114
EXTENSION OF USE	NO
MARK SECTION	
MARK FILE NAME	https://tmng-al.uspto.gov/resting2/api/img/87024417/large
LITERAL ELEMENT	HUMAN N
STANDARD CHARACTERS	NO
USPTO-GENERATED IMAGE	NO
OWNER SECTION (current)	
NAME	HUMAN POWER OF N COMPANY, INC.
INTERNAL ADDRESS	1120 S. CAPITAL OF TEXAS HWY
STREET	BUILDING 1, SUITE 210
CITY	AUSITN
STATE	Texas
ZIP/POSTAL CODE	78746
COUNTRY	United States
OWNER SECTION (proposed)	
NAME	HUMAN POWER OF N COMPANY
INTERNAL ADDRESS	Bldg 1, Suite 210
STREET	1120 S. Capital of TX Hwy, Bld 1 Ste 210
CITY	AUSTIN
STATE	Texas
ZIP/POSTAL CODE	78746
COUNTRY	United States
PHONE	512-732-2240
EMAIL	bril@humann.com
AUTHORIZED TO COMMUNICATE VIA E-MAIL	Yes
GOODS AND/OR SERVICES SECTION	
INTERNATIONAL CLASS	005
	Pharmaceuticals, health and wellness products, namely, nutraceuticals for

CURRENT IDENTIFICATION	use as dietary supplements, dietetic and functional foods and beverages adapted for medical purposes; dietary and nutritional supplements; herbal supplements; vitamin and mineral supplements; dietary supplements for weight-loss or energy boosting in the nature of powders, tablets, capsules. lozenges, and nutritional supplement meal replacement bars for boosting energy, and immunity boosting nutritional supplements	
GOODS OR SERVICES	KEEP ALL LISTED	
FIRST USE ANYWHERE DATE	06/01/2016	
FIRST USE IN COMMERCE DATE	06/25/2016	
SPECIMEN FILE NAME(S)	\\TICRS\EXPORT17\IMAGEOUT 17\870\244\87024417\xml8\\ SOU0002.JPG	
SPECIMEN DESCRIPTION	Labeled canister of functional food and wellness and dietary supplement powder product called Protein40, using the "human n" mark	
INTERNATIONAL CLASS	029	
CURRENT IDENTIFICATION	Processed meats and processed foods, namely, preserved, dried, and cooked fruits and vegetables; jellies and jams	
GOODS OR SERVICES	KEEP ALL LISTED	
FIRST USE ANYWHERE DATE	06/01/2016	
FIRST USE IN COMMERCE DATE	06/25/2016	
SPECIMEN FILE NAME(S)	\\TICRS\EXPORT17\IMAGEOUT 17\870\244\87024417\xml8\\ SOU0003.JPG	
SPECIMEN DESCRIPTION	Labeled canister of product called Superbeets containing dried vegetables (beetroot) with the "human n" mark	
INTERNATIONAL CLASS	030	
CURRENT IDENTIFICATION	Fruit sauces excluding cranberry sauce and applesauce	
GOODS OR SERVICES	DELETE ALL ITU GOODS/SERVICES IN THIS CLASS	
INTERNATIONAL CLASS	032	
CURRENT IDENTIFICATION	Fruit and vegetable beverages, instant fruit and vegetable flavored drinks, soft drinks, and other preparations in liquid or powder form for making nonalcoholic fruit, vegetable, herbal, and cola beverages	
GOODS OR SERVICES	KEEP ALL LISTED	
FIRST USE ANYWHERE DATE	06/01/2016	
FIRST USE IN COMMERCE DATE	06/01/2016	
SPECIMEN FILE NAME(S)	\\TICRS\EXPORT17\IMAGEOUT 17\870\244\87024417\xml8\\ SOU0004.JPG	
SPECIMEN DESCRIPTION	Labeled canister of vegetable drink powder product called HeartGreens, using the "human n" mark	
REQUEST TO DIVIDE	NO	
PAYMENT SECTION		
NUMBER OF CLASSES IN USE	3	
SUBTOTAL AMOUNT [ALLEGATION OF USE FEE]	300	
TOTAL AMOUNT	300	
SIGNATURE SECTION		

DECLARATION SIGNATURE	/Bril Flint/
SIGNATORY'S NAME	Bril Flint
SIGNATORY'S POSITION	Chief Supply Chain Officer
DATE SIGNED	09/19/2017
SIGNATORY'S PHONE NUMBER	512-732-2240
FILING INFORMATION	
SUBMIT DATE	Tue Sep 19 09:49:02 EDT 2017
TEAS STAMP	USPTO/SOU-XX.XX.XXX.XXX-20 170919094902343138-870244 17-5108abd7ec62d186227a1d 2a9e789afcdfd3d0cb6868711 087f7a4554ab08d29ab-CC-90 58-20170919092154771704

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Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number.

OMB No. 0651-0054 (Exp 10/31/2017)

Trademark/Service Mark Statement of Use (15 U.S.C. Section 1051(d))

To the Commissioner for Trademarks:

bril@humann.com (authorized)

MARK: HUMAN N (Stylized and/or with Design, see https://tmng-al.uspto.gov/resting2/api/img/87024417/large)

SERIAL NUMBER: 87024417

The applicant, HUMAN POWER OF N COMPANY, having an address of Bldg 1, Suite 210
1120 S. Capital of TX Hwy, Bld 1 Ste 210
AUSTIN, Texas 78746
United States
512-732-2240

is submitting the following allegation of use information:

For International Class 005:

Current identification: Pharmaceuticals, health and wellness products, namely, nutraceuticals for use as dietary supplements, dietetic and functional foods and beverages adapted for medical purposes; dietary and nutritional supplements; herbal supplements; vitamin and mineral supplements; dietary supplements for weight-loss or energy boosting in the nature of powders, tablets, capsules. lozenges, and nutritional supplement meal replacement bars for boosting energy, and immunity boosting nutritional supplements

The mark is in use in commerce on or in connection with all of the goods/services, or to indicate membership in the collective organization listed in the application or Notice of Allowance or as subsequently modified for this specific class.

The mark was first used by the applicant, or the applicant's related company, licensee, or predecessor in interest at least as early as 06/01/2016, and first used in commerce at least as early as 06/25/2016, and is now in use in such commerce. The applicant is submitting one specimen for the class showing the mark as used in commerce on or in connection with any item in the class, consisting of a(n) Labeled canister of functional food and wellness and dietary supplement powder product called Protein40, using the "human n" mark.

Specimen File1

For International Class 029:

Current identification: Processed meats and processed foods, namely, preserved, dried, and cooked fruits and vegetables; jellies and jams

The mark is in use in commerce on or in connection with all of the goods/services, or to indicate membership in the collective organization listed in the application or Notice of Allowance or as subsequently modified for this specific class.

The mark was first used by the applicant, or the applicant's related company, licensee, or predecessor in interest at least as early as 06/01/2016, and first used in commerce at least as early as 06/25/2016, and is now in use in such commerce. The applicant is submitting one specimen for the class showing the mark as used in commerce on or in connection with any item in the class, consisting of a(n) Labeled canister of product called Superbeets containing dried vegetables (beetroot) with the "human n" mark.

Specimen File1

For International Class 030:

Current identification: Fruit sauces excluding cranberry sauce and applesauce

All ITU goods/services in this class are to be deleted

For International Class 032:

Current identification: Fruit and vegetable beverages, instant fruit and vegetable flavored drinks, soft drinks, and other preparations in liquid or powder form for making nonalcoholic fruit, vegetable, herbal, and cola beverages

Case 3:18-cv-05542-EMC Document 1 Filed 09/10/18 Page 37 of 69

The mark is in use in commerce on or in connection with all of the goods/services, or to indicate membership in the collective organization listed in the application or Notice of Allowance or as subsequently modified for this specific class.

The mark was first used by the applicant, or the applicant's related company, licensee, or predecessor in interest at least as early as 06/01/2016, and first used in commerce at least as early as 06/01/2016, and is now in use in such commerce. The applicant is submitting one specimen for the class showing the mark as used in commerce on or in connection with any item in the class, consisting of a(n) Labeled canister of vegetable drink powder product called HeartGreens, using the "human n" mark. Specimen File1

The applicant is not filing a Request to Divide with this Allegation of Use form.

A fee payment in the amount of \$300 will be submitted with the form, representing payment for the allegation of use for 3 classes.

Declaration

The signatory believes that the applicant is the owner of the mark sought to be registered.

For a trademark or service mark application, the mark is in use in commerce on or in connection with all the goods/services in the application or notice of allowance, or as subsequently modified.

For a collective trademark, collective service mark, collective membership mark application, the applicant is exercising legitimate control over the use of the mark in commerce by members on or in connection with all the goods/services/collective membership organization in the application or notice of allowance, or as subsequently modified.

For a certification mark application, the applicant is exercising legitimate control over the use of the mark in commerce by authorized users on or in connection with the all goods/services in the application or notice of allowance, or as subsequently modified, and the applicant is not engaged in the production or marketing of the goods/services to which the mark is applied, except to advertise or promote recognition of the certification program or of the goods/services that meet the certification standards of the applicant.

The specimen(s) shows the mark as used on or in connection with the goods/services/collective membership organization in commerce.

To the best of the signatory's knowledge and belief, no other persons, except, if applicable, authorized users, members, and/or concurrent users, have the right to use the mark in commerce, either in the identical form or in such near resemblance as to be likely, when used on or in connection with the goods/services/collective membership organization of such other persons, to cause confusion or mistake, or to deceive.

To the best of the signatory's knowledge, information, and belief, formed after an inquiry reasonable under the circumstances, the allegations and other factual contentions made above have evidentiary support.

The signatory being warned that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001, and that such willful false statements and the like may jeopardize the validity of the application or submission or any registration resulting therefrom, declares that all statements made of his/her own knowledge are true and all statements made on information and belief are believed to be true.

Signature: /Bril Flint/ Date Signed: 09/19/2017

Signatory's Name: Bril Flint

Signatory's Position: Chief Supply Chain Officer

Signatory's Phone: 512-732-2240

RAM Sale Number: 87024417 RAM Accounting Date: 09/19/2017

Serial Number: 87024417

Internet Transmission Date: Tue Sep 19 09:49:02 EDT 2017 TEAS Stamp: USPTO/SOU-XX.XX.XXX.XXX.XXX-20170919094902343

138-87024417-5108abd7ec62d186227a1d2a9e7 89afcdfd3d0cb6868711087f7a4554ab08d29ab-

CC-9058-20170919092154771704







FEE RECORD SHEET

Serial Number: 87024417

RAM Sale Number: 87024417 Total Fees: \$300

RAM Accounting Date: 20170919

<u>Transaction</u>	Fee <u>Code</u>	Transaction <u>Date</u>	Fee per <u>Class</u>	Number of Classes	Total <u>Fee</u>
Statement of Use (SOU)	7003	20170919	\$100	3	\$300

Transaction Date: 20170919

Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number.

OMB No. 0651-0054 (Exp 10/31/2017)

Trademark/Service Mark Statement of Use (15 U.S.C. Section 1051(d))

The table below presents the data as entered.

Input Field	Entered	
SERIAL NUMBER	86860810	
LAW OFFICE ASSIGNED	LAW OFFICE 105	
EXTENSION OF USE	NO	
MARK SECTION		
MARK	https://tmng-al.uspto.gov/resting2/api/img/86860810/large	
LITERAL ELEMENT	HUMANN	
STANDARD CHARACTERS	YES	
USPTO-GENERATED IMAGE	YES	
MARK STATEMENT	The mark consists of standard characters, without claim to any particular font style, size or color.	
OWNER SECTION (current)		
NAME	Neogenis Laboratories, Inc.	
INTERNAL ADDRESS	Building 1, Suite 210	
STREET	1120 S. Capital of Texas Hwy	
CITY	Austin	
STATE	Texas	
ZIP/POSTAL CODE	78746	
COUNTRY	United States	
OWNER SECTION (proposed)		
NAME	Human Power of N Company, Inc. fka Neogenis Laboratories, Inc.	
INTERNAL ADDRESS	Building 1, Suite 210	
STREET	1120 S. Capital of Texas Hwy	
CITY	Austin	
STATE	Texas	
ZIP/POSTAL CODE	78746	
COUNTRY	United States	
GOODS AND/OR SERVICES SECTION		
INTERNATIONAL CLASS	005	
	Pharmaceuticals in the nature of health and wellness products, namely, nutraceuticals for use as dietary supplements, dietetic and functional foods, namely, bars, powders, tablets, gummies, chews, and capsules containing	

CURRENT IDENTIFICATION	combinations of protein, fruits, vegetables, grains, amino acids, minerals, nutrients and vitamins; beverages, namely, ready to drink and powdered drink mixes containing combinations of protein, fruits, vegetables, grains, amino acids, fortified with mineral, nutrients, and vitamins	
GOODS OR SERVICES	KEEP ALL LISTED	
FIRST USE ANYWHERE DATE	06/01/2016	
FIRST USE IN COMMERCE DATE	06/01/2016	
SPECIMEN FILE NAME(S)	\\\TICRS\EXPORT17\IMAGEOUT 17\868\608\86860810\xml6\\\\SOU0002.JPG	
SPECIMEN DESCRIPTION	Photo of product label	
INTERNATIONAL CLASS	029	
CURRENT IDENTIFICATION	Meats and Processed Foods in the nature of preserved, dried and cooked fruits and vegetable; jellies and jams	
GOODS OR SERVICES	KEEP ALL LISTED	
FIRST USE ANYWHERE DATE	06/01/2016	
FIRST USE IN COMMERCE DATE	11/09/2016	
SPECIMEN FILE NAME(S)	\\\TICRS\EXPORT17\IMAGEOUT 17\868\608\86860810\xml6\\\\SOU0003.JPG	
SPECIMEN DESCRIPTION	Photo of product label	
INTERNATIONAL CLASS	030	
CURRENT IDENTIFICATION	Fruit sauces excluding cranberry sauce and applesauce	
GOODS OR SERVICES	DELETE ALL ITU GOODS/SERVICES IN THIS CLASS	
INTERNATIONAL CLASS	032	
CURRENT IDENTIFICATION	Light Beverages in the nature of instant flavored drinks, namely, fruit, grain, and vegetable juices and soft drinks; preparations in liquid or powder form for making non-alcoholic fruit, vegetable, herbal, and cola beverages, namely, fruit, grain, and vegetable juices and combinations thereof	
GOODS OR SERVICES	KEEP ALL LISTED	
FIRST USE ANYWHERE DATE	06/01/2016	
FIRST USE IN COMMERCE DATE	11/09/2016	
SPECIMEN FILE NAME(S)	\\\TICRS\EXPORT17\IMAGEOUT 17\868\608\86860810\xml6\\\\SOU0004.JPG	
SPECIMEN DESCRIPTION	Photo of product label	
REQUEST TO DIVIDE	NO	
PAYMENT SECTION		
NUMBER OF CLASSES IN USE	3	
SUBTOTAL AMOUNT [ALLEGATION OF USE FEE]	300	
TOTAL AMOUNT	300	
SIGNATURE SECTION		
DECLARATION SIGNATURE	/AnnMarie A. Kocher/	
SIGNATORY'S NAME	/AnnMarie A. Kocher/	

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SIGNATORY'S POSITION	President	
DATE SIGNED	01/18/2017	
FILING INFORMATION		
SUBMIT DATE	Wed Jan 18 11:45:55 EST 2017	
TEAS STAMP	USPTO/SOU-XXX.XXX.XXXX.XXX -20170118114555902238-868 60810-5804244e8244f1a5eb2 343d334e67126d158d70584a5 f14a0b3f04fc916412eaac-DA -10737-201701171313511342 87	

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Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number. PTO Form 1553 (Rev 09/2005)

OMB No. 0651-0054 (Exp 10/31/2017)

Trademark/Service Mark Statement of Use (15 U.S.C. Section 1051(d))

To the Commissioner for Trademarks:

MARK: HUMANN(Standard Characters, see https://tmng-al.uspto.gov/resting2/api/img/86860810/large)

SERIAL NUMBER: 86860810

The applicant, Human Power of N Company, Inc. fka Neogenis Laboratories, Inc., having an address of Building 1, Suite 210
1120 S. Capital of Texas Hwy
Austin, Texas 78746
United States
is submitting the following allegation of use information:

For International Class 005:

Current identification: Pharmaceuticals in the nature of health and wellness products, namely, nutraceuticals for use as dietary supplements, dietetic and functional foods, namely, bars, powders, tablets, gummies, chews, and capsules containing combinations of protein, fruits, vegetables, grains, amino acids, minerals, nutrients and vitamins; beverages, namely, ready to drink and powdered drink mixes containing combinations of protein, fruits, vegetables, grains, amino acids, fortified with mineral, nutrients, and vitamins

The mark is in use in commerce on or in connection with all of the goods/services, or to indicate membership in the collective organization listed in the application or Notice of Allowance or as subsequently modified for this specific class.

The mark was first used by the applicant, or the applicant's related company, licensee, or predecessor in interest at least as early as 06/01/2016, and first used in commerce at least as early as 06/01/2016, and is now in use in such commerce. The applicant is submitting one specimen for the class showing the mark as used in commerce on or in connection with any item in the class, consisting of a(n) Photo of product label. Specimen File1

For International Class 029:

Current identification: Meats and Processed Foods in the nature of preserved, dried and cooked fruits and vegetable; jellies and jams

The mark is in use in commerce on or in connection with all of the goods/services, or to indicate membership in the collective organization listed in the application or Notice of Allowance or as subsequently modified for this specific class.

The mark was first used by the applicant, or the applicant's related company, licensee, or predecessor in interest at least as early as 06/01/2016, and first used in commerce at least as early as 11/09/2016, and is now in use in such commerce. The applicant is submitting one specimen for the class showing the mark as used in commerce on or in connection with any item in the class, consisting of a(n) Photo of product label.

Specimen File1

For International Class 030:

Current identification: Fruit sauces excluding cranberry sauce and applesauce

All ITU goods/services in this class are to be deleted

For International Class 032:

Current identification: Light Beverages in the nature of instant flavored drinks, namely, fruit, grain, and vegetable juices and soft drinks; preparations in liquid or powder form for making non-alcoholic fruit, vegetable, herbal, and cola beverages, namely, fruit, grain, and vegetable juices and combinations thereof

The mark is in use in commerce on or in connection with all of the goods/services, or to indicate membership in the collective organization listed in the application or Notice of Allowance or as subsequently modified for this specific class.

Case 3:18-cv-05542-EMC Document 1 Filed 09/10/18 Page 46 of 69

The mark was first used by the applicant, or the applicant's related company, licensee, or predecessor in interest at least as early as 06/01/2016, and first used in commerce at least as early as 11/09/2016, and is now in use in such commerce. The applicant is submitting one specimen for the class showing the mark as used in commerce on or in connection with any item in the class, consisting of a(n) Photo of product label. Specimen File1

The applicant is not filing a Request to Divide with this Allegation of Use form.

A fee payment in the amount of \$300 will be submitted with the form, representing payment for the allegation of use for 3 classes.

Declaration

The applicant is the owner of the mark sought to be registered.

For a trademark or service mark application, the mark is in use in commerce on or in connection with all the goods/services in the application or notice of allowance, or as subsequently modified.

For a collective trademark, collective service mark, collective membership mark application, the applicant is exercising legitimate control over the use of the mark in commerce by members on or in connection with all the goods/services/collective membership organization in the application or notice of allowance, or as subsequently modified.

For a certification mark application, the applicant is exercising legitimate control over the use of the mark in commerce by authorized users on or in connection with the all goods/services in the application or notice of allowance, or as subsequently modified, and the applicant is not engaged in the production or marketing of the goods/services to which the mark is applied, except to advertise or promote recognition of the certification program or of the goods/services that meet the certification standards of the applicant.

The specimen(s) shows the mark as used on or in connection with the goods/services/collective membership organization in commerce.

To the best of the signatory's knowledge and belief, no other persons, except, if applicable, authorized users, members, and/or concurrent users, have the right to use the mark in commerce, either in the identical form or in such near resemblance as to be likely, when used on or in connection with the goods/services/collective membership organization of such other persons, to cause confusion or mistake, or to deceive.

To the best of the signatory's knowledge, information, and belief, formed after an inquiry reasonable under the circumstances, the allegations and other factual contentions made above have evidentiary support.

The signatory being warned that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001, and that such willful false statements and the like may jeopardize the validity of the application or submission or any registration resulting therefrom, declares that all statements made of his/her own knowledge are true and that all statements made on information and belief are believed to be true.

Signature: /AnnMarie A. Kocher/ Date Signed: 01/18/2017

Signatory's Name: /AnnMarie A. Kocher/

Signatory's Position: President

RAM Sale Number: 86860810 RAM Accounting Date: 01/18/2017

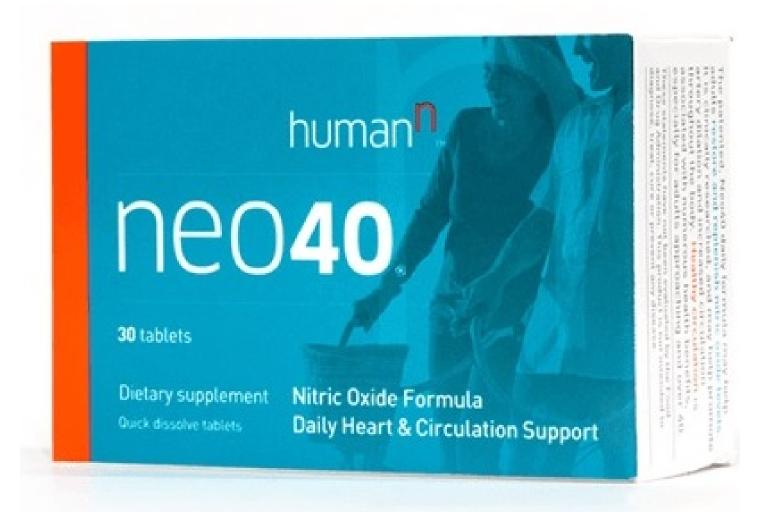
Serial Number: 86860810

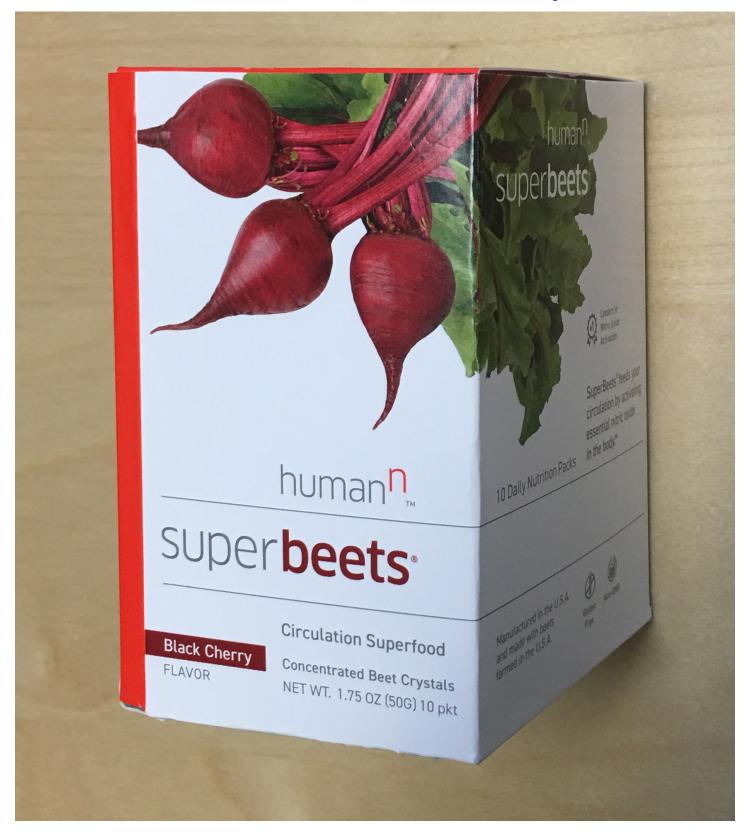
Internet Transmission Date: Wed Jan 18 11:45:55 EST 2017

TEAS Stamp: USPTO/SOU-XXX.XXX.XXX.XXX-20170118114555

902238-86860810-5804244e8244f1a5eb2343d3 34e67126d158d70584a5f14a0b3f04fc916412ea

ac-DA-10737-20170117131351134287







FEE RECORD SHEET

Serial Number: 86860810

RAM Sale Number: 86860810 Total Fees: \$300

RAM Accounting Date: 20170118

Transaction	Fee	Transaction	Fee per	Number	Total
	<u>Code</u>	<u>Date</u>	<u>Class</u>	of Classes	<u>Fee</u>
Statement of Use (SOU)	7003	20170118	\$100	3	\$300

Transaction Date: 20170118

EXHIBIT D

Page 1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

ORAL DEPOSITION OF KELLY HARRELSON AUGUST 28, 2018

ORAL DEPOSITION OF KELLY HARRELSON, produced as a witness at the instance of the Registrant, and duly sworn, was taken in the above-styled and numbered cause on August 28, 2018, from 9:55 a.m. to 2:23 p.m., before Donna Wright, CSR in and for the State of Texas, reported by machine shorthand, at the law offices of GRAVES DOUGHERTY HEARON & MOODY, 401 Congress Avenue, Suite 2200, Austin, Texas, pursuant to the provisions stated on the record or attached hereto.



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Page 2
 1
                      APPEARANCES
 2
     FOR THE PETITIONER:
 3
         Mr. Steven D. Smit
         GRAVES DOUGHERTY HEARON & MOODY
        401 Congress Avenue
 4
         Suite 2200
 5
         Austin, Texas 78701
         (512) 480-5600
 6
         ssmit@gdhm.com
 7
     FOR THE REGISTRANT:
 8
         Mr. Timothy D. Pescenye
         BLANK ROME
 9
         130 North 18th Street
         Philadelphia, Pennsylvania 19103
         (215) 569-5347
10
         pecsenye@blankrome.com
11
12
    ALSO PRESENT:
         Bril Flint
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22
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24
25
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Page 70

- 1 such a product presently on the marketplace. Okay?
- 2 A. You're going to share a list of products from
- 3 the company HumanN?
- 4 O. Correct.
- 5 A. Okay.
- 6 MR. SMIT: And may I -- from which
- 7 trademark registration, because I think the HumanN
- 8 plain text versus the HumanN logo have different
- 9 products. There's a different recitation of products,
- 10 if I remember correctly.
- MR. PECSENYE: You may be correct.
- MR. SMIT: Okay.
- MR. PECSENYE: I don't know which
- 14 registration. But I will represent to you that it is a
- 15 registration that the company owns.
- MR. SMIT: Got it.
- 17 O. (BY MR. PECSENYE) Nutraceuticals for use as
- 18 wellness products, does the company sell that?
- 19 A. Yes.
- 20 Q. Dietetic and functional foods?
- 21 A. Yes.
- 22 Q. Bars?
- A. Are you asking if we actively sell bars?
- Q. Correct.
- A. The answer is no.



	Page 71
1	Q. Powders?
2	A. Yes.
3	Q. Tablets?
4	A. Yes.
5	Q. Gummies?
6	A. Actively selling, the answer is no.
7	Q. Chews?
8	A. No.
9	Q. Capsules containing combinations of protein,
10	fruits, vegetables, grains, amino acids, minerals,
11	nutrients, and vitamins?
12	A. Yes.
13	Q. Beverages?
14	A. We would have to be specific on beverages.
15	Our powders are considered a drink of sorts.
16	Q. But does the company actually sell any liquid
17	beverage?
18	A. Liquid beverages? Presently, no.
19	Q. Does the company sell any products for meats
20	and processed foods in the nature of preserve, dried,
21	and cooked fruits and vegetables?
22	A. I'm unfamiliar with that, if that incorporates
23	one of our products that I just wouldn't understand a
24	nuance in the formulation or not. I couldn't say on
25	that one specific. Sounds very technical.



Page 72 Any jellies or jams? 1 O. 2 Α. No. Any soft drinks? 3 O. Soft drinks such as --4 Α. 5 Q. Like liquids? Oh, liquids? No. 7 So the company doesn't sell any liquid products? 8 9 Today the company isn't selling liquid Α. 10 products that are sold in a liquid form factor. 11 But it does sell powdered products that are 12 intended to be put into a liquid? 13 That's correct. It sells a powder that's Α. intended to -- you drink it. 14 15 O. Any cola beverages? 16 Α. Cola? 17 Yes. Ο. As in -- like a soda water cola, or does that 18 19 mean --20 Well, I think cola generally connotes a Q. cola-flavored beverage. 21 22 I'm not aware of any products that we 23 have that carry that, but I'm not the expert in our area of formulations and ingredients in our products. 24 So let's go back to, I think, your hardcore 25 Q.



EXHIBIT E

Trademark Trial and Appeal Board Electronic Filing System. http://estta.uspto.gov

ESTTA Tracking number:

ESTTA868007

Filing date:

12/29/2017

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

Petition for Cancellation

Notice is hereby given that the following party has filed a petition to cancel the registration indicated below.

Petitioner Information

Name	Human Power of N Company		
Entity	Corporation	Citizenship	Texas
Address	1120 S. Capital of Texas High Bldg. 1, Suite 210 Austin, TX 78746 UNITED STATES	lway	

Attorney information	Steven D. Smit Graves, Dougherty, Hearon, & Moody P.C.
	401 Congress Avenue, Suite 2200 Austin, TX 78701
	UNITED STATES Email: trademarks@gdhm.com, ssmit@gdhm.com
	Phone: 512.480.5600

Registration Subject to Cancellation

Registration No.	5361363	Registration date	12/19/2017
Registrant	HVMN INC. 505 MONTGOMERY STREE SUITE 1025 SAN FRANCISCO, CA 94111 UNITED STATES		

Goods/Services Subject to Cancellation

Class 005. First Use: 2017/06/27 First Use In Commerce: 2017/06/27

All goods and services in the class are subject to cancellation, namely: Dietary and nutritional supplements; dietary and nutritional supplements containing ketones; liquid protein supplements, namely, ketogenic protein supplement shakes and drinks; nutritional supplements energy bars; nutritional supplements in the form of energy gels, pastes and chews

Class 030. First Use: 2017/06/27 First Use In Commerce: 2017/06/27

All goods and services in the class are subject to cancellation, namely: Cereal based energy bars; candy

Class 032. First Use: 2017/06/27 First Use In Commerce: 2017/06/27

All goods and services in the class are subject to cancellation, namely: Sports performance drinks

Grounds for Cancellation

Priority and likelihood of confusion Trademark Act Sections 14(1) and 2(
--

Marks Cited by Petitioner as Basis for Cancellation

U.S. Registration No.	5351133	Application Date	12/29/2015
Registration Date	12/05/2017	Foreign Priority Date	NONE
Word Mark	HUMANN		•
Design Mark	HUN	ΛAì	NN
Description of Mark	NONE		
Goods/Services	Pharmaceuticals in the natural raceuticals for use as dietary namely, bars, powders, table binations of protein, fruits, verand vitamins; beverages, nataining combinations of protein with mineral, nutrients, and verand vegetable; jellies and jar Class 032. First use: First Use Light Beverages in the natural raceuticals in the second second vegetable.	re of healthand wellner supplements, dieteticets, gummies, chews, egetables, grains, aminely, ready to drink a ein, fruits, vegetables, ritamins se: 2016/06/01 First Us in the natureof presens se: 2016/06/01 First Use of instant flavored driks; preparations in lice	c and functional foods, and capsules containing comnoacids, minerals, nutrients and powdered drink mixes congrains, amino acids, fortified lese In Commerce: 2016/11/09 erved, dried and cooked fruits lese In Commerce: 2016/11/09 rinks, namely, fruit, grain, and quid or powder form formaking
	and vegetable juices and cor	mbinations thereof	

U.S. Registration No.	5355870	Application Date	05/04/2016
Registration Date	12/12/2017	Foreign Priority Date	NONE
Word Mark	HUMAN N		
Design Mark	hun	nai	n
Description of Mark	The mark consists of the work	d "human" with an ad	lditional raised "n" at the end.
Goods/Services	Class 005. First use: First Us	e: 2016/06/01 First U	se In Commerce: 2016/06/25

Pharmaceuticals, health and wellness products, namely, nutraceuticals for use as dietary supplements, dietetic and functional foods and beverages adapted for medical purposes; dietary and nutritionalsupplements; herbal supplements; vitamin and mineral supplements; dietary supplements for weight-loss or energy boosting in the nature of powders, tablets, capsules. lozenges, and nutritional supplement meal replacement bars for boosting energy, and immunity boosting nutritionalsupplements
Class 029. First use: First Use: 2016/06/01 First Use In Commerce: 2016/06/25
Processed meats and processed foods, namely, preserved, dried, and cooked fruits and vegetables; jellies and jams
Class 032. First use: First Use: 2016/06/01 First Use In Commerce: 2016/06/01
Fruit and vegetable beverages, instant fruit and vegetable flavored drinks, soft drinks, and other preparations in liquid or powder form for making nonalcoholic fruit, vegetable, herbal, and cola beverages

Attachments	86860810#TMSN.png(bytes) 87024417#TMSN.png(bytes)
	Petition to Cancel_HVMN.pdf(148296 bytes)

Signature	/Steven D. Smit/
Name	Steven D. Smit
Date	12/29/2017

IN THE UNITED STATES PATENT AND TRADE REGISTERED TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

In the Matter of: Trademark Registration No. 5361363

For the mark: HVMN

Date registered: December 19, 2017

§	
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§	
§	Cancellation No.
§	
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PETITION FOR CANCELLATION

Petitioner, Human Power of N Company a Texas Corporation with its business address at 1120 S. Capital of Texas Highway, Building 1, Suite 210, Austin, TEXAS 78746, believes that it is being damaged and will continue to be damaged by the above-identified registration, and hereby petitions to cancel this registration. As grounds for cancellation, Petitioner alleges as follows:

1. According to the records of the Patent and Trademark Office, the name and address of the current owner of the trademark registration at issue is HVMN, Inc., 505 Montgomery Street, Suite 1025, San Francisco, CALIFORNIA, 94111.

I. Standing of Petitioner

2. Petitioner and Respondent are competitors. Petitioner has two registered trademarks for the mark HUMANN; Registration No. 5,351,133 and HUMANN design logo; Registration No. 5,355870. Petitioner has been using the trademark HUMANN in interstate commerce for the goods recited in its application since at least June 1, 2016.

By virtue of Petitioner's use in commerce of its trademark HUMANN in connection with its goods, such goods have become favorably known to the relevant trade and public under such trademark.

II. Ground for Cancellation – Likelihood of Confusion

- 3. Respondent originally filed an intent-to-use application for HVMN (pronounced "Human") on February 27, 2017. Respondent did not file a Statement of Use until October 11, 2017, citing first use of HVMN on June 27, 2017. As shown by the foregoing, Petitioner began using the HUMANN mark in interstate commerce over a year before Respondent's first use in interstate commerce.
- 4. Petitioner does not believe the Examiner understood that the mark HVMN is pronounced "Human," according to Respondent's Website and other marketing materials. If the Examiner had understood this fact, Petitioner believes the Examiner would have rejected the HVMN application based on a likelihood of confusion between Petitioner's registered mark HUMANN and Respondent's mark HVMN.
- 5. Petitioner's registered trademark HUMANN, and Respondent's registered trademark HVMN (pronounced Human) are similar in appearance, connotation and commercial appearance. Even more importantly, both marks have exactly the same pronunciation. Both marks are registered in Class 5 for dietary supplements, and class 32 for performance drinks. Upon information and belief, Petitioner's and Respondent's products under their respective marks are marketed and sold through the same or commercially similar channels of trade. Moreover, Petitioner is aware of actual confusion in the marketplace that is being caused by Respondent's marketing and sale of its products under the HVMN mark.

WHEREFORE, Petitioner respectfully requests that its petition to cancel the above-cited registration be sustained; that Respondent's registration for HVMN be cancelled; and that Petitioner be granted such other and further relief as to which it may be justly entitled.

Respectfully submitted,

GRAVES, DOUGHERTY, HEARON & MOODY A Professional Corporation 401 Congress, Suite 2200 Austin, Texas 78701 (512) 480-5638 (telephone) (512) 480-5838 (fax)

By:/s/ Steven D. Smit
Steven D. Smit
Texas State Bar No. 18527500

ATTORNEYS FOR PETITIONER

CERTIFICATE OF SERVICE

I certify that on December 28, 2017, a true and correct copy of this Petition to Cancel was Sent by first class mail and a courtesy copy via email to the following:

Correspondent:

Zachary A. Aria aria@blankrome.com Blank Rome LLP 8th Floor One Logan Square Philadelphia, PA 19103

Owner:

Geoffrey Woo/CEO HVMN, Inc. 505 Montgomery Street, Suite 1025 San Francisco, CA 94111

> /Steven D. Smit/ Steven D. Smit

EXHIBIT F

Page 1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

ORAL DEPOSITION OF BRIL FLINT

AUGUST 28, 2018

ORAL DEPOSITION OF BRIL FLINT, produced as a witness at the instance of the Registrant, and duly sworn, was taken in the above-styled and numbered cause on August 28, 2018, from 12:35 p.m. to 2:10 p.m., before Donna Wright, CSR in and for the State of Texas, reported by machine shorthand, at the law offices of GRAVES DOUGHERTY HEARON & MOODY, 401 Congress Avenue, Suite 2200, Austin, Texas, pursuant to the provisions stated on the record or attached hereto.



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Page 2
 1
                      APPEARANCES
 2
     FOR THE PETITIONER:
 3
         Mr. Steven D. Smit
         GRAVES DOUGHERTY HEARON & MOODY
         401 Congress Avenue
 4
         Suite 2200
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         Austin, Texas 78701
         (512) 480-5600
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         ssmit@gdhm.com
 7
     FOR THE REGISTRANT:
 8
         Mr. Timothy D. Pescenye
         BLANK ROME
 9
         130 North 18th Street
         Philadelphia, Pennsylvania 19103
         (215) 569-5347
10
         pecsenye@blankrome.com
11
12
    ALSO PRESENT:
         Kelly Harrelson
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25
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- 1 to a video or an article that pronounced the word as
- 2 "Human."
- 3 Q. Right. So you watched the video, correct?
- 4 A. Uh-huh.
- 5 Q. That was yes?
- 6 A. Yes.
- 7 Q. And then what did you do?
- 8 A. In conjunction with the other avenues that
- 9 this concern had been raised through our company and
- 10 contacts -- I don't remember the exact date, but I got
- in touch with our counsel about the potential confusion
- 12 of the marks.
- 13 Q. So you called your lawyer at some point --
- 14 A. Yes.
- 15 O. -- after receiving this e-mail?
- 16 A. Uh-huh.
- 17 Q. Do you view, as the IP liaison for the
- 18 company, HVMN's use of that mark as trademark
- 19 infringement?
- 20 A. I do, yes.
- 21 Q. Is there a reason why you haven't sued HVMN?
- 22 A. That possibility remains open.
- Q. If you would, could you turn to Exhibit 8?
- 24 A. Yes.
- 25 Q. Are you familiar with the document that's



EXHIBIT G

Case 3:18-cv-05542-EMC Document 1 Filed 09/10/18 Page 69 of 69

From: Smit, Steve D.
To: Pecsenye, Timothy

Cc: <u>Garcia, Velma</u>; <u>Aria, Zachary</u>; <u>Perea, Jennifer M.</u>; <u>Aryani-Sabet, Samar</u>

Subject: RE: Human Power of N Company v. HVMN Inc.; - Response to Settlement Offer

Date: Wednesday, September 5, 2018 5:13:50 PM

Attachments: <u>image002.png</u>

Tim:

It declines this offer and believes the only acceptable resolution of this matter is for HVMN to abandon its registration and change its name to a mark that is not confusingly similar to my client's trademarks for Humann.

Please let me know if you have any questions.

Regards, Steve

Steve Smit 480-5653 (tel) 480-5853 (fax) ssmit@gdhm.com (email)



GRAVES DOUGHERTY HEARON & MOODY

401 Congress Avenue, Suite 2200 Austin, Texas 78701 Phone 512.480.5600 www.gdhm.com

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